

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.

For Official Use Only



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

Revised

1. File Number U - <u>4143</u>	2. Fiscal Year Covered From: <u>11</u> / <u>11</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing. Name <u>TERRY M NELSON</u> P.O. Box, Bldg., Room No., if any Street <u>1401 HAMPTON AVE</u> City <u>ST. LOUIS</u> State <u>Missouri</u> ZIP Code + 4 <u>63139-3159</u>	4. Name, file number, and address of labor organization. Name <u>CARPENTERS DISTRICT COUNCIL OF ST. LOUIS</u> Labor Organization File Number <u>002637</u> P.O. Box, Building and Room Number, if any Street <u>1401 HAMPTON AVE</u> City <u>ST. LOUIS</u> State <u>Missouri</u> ZIP Code + 4 <u>63139-3159</u>
5. Position in labor organization. <u>EXECUTIVE SECRETARY TREASURER</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name <u>ST. LOUIS CONVENTION AND VISITORS CENTER</u> Trade Name, if any: P.O. Box, Bldg., Room No., if any Street <u>701 CONVENTION PLAZA</u> City <u>ST. LOUIS</u> State <u>Missouri</u> ZIP Code + 4 <u>63101-1275</u>	7.a. Nature of Interest, Transaction, or Income. <u>12/27/04 FOOTBALL GAME</u> 7.b. Amount. <u>\$135</u>

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

On

8/10/05

Date

314-644-9800

Telephone Number

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name **COMMERCE BANK**
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street **8000 FORSYTH**
City **CLAYTON**
State **Missouri** ZIP Code + 4 **63105-1707**

9. Business deals with:

- ☐ a. Labor Organization
☒ b. Trust
☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name **CARPENTERS PENSION TRUST FUND OF ST. LOUIS**
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street **1401 HAMPTON AVE**
City **ST. LOUIS**
State **Missouri** ZIP Code + 4 **63139-3159**

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

JULY 04 BASEBALL TICKETS

12.b. Amount.

\$360

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name
Trade Name, if any:
P.O. Box, Bldg., Room No., if any:
Street
City
State
ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

Name of Person Filing TERRY NELSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name MORGAN STANLEY

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 440 SOUTH LASALLE STREET

City CHICAGO

State Illinois ZIP Code + 4 60605-1028

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

MORGAN STANLEY IS A MONEY MANAGER FOR THE
CARPENTERS PENSION TRUST FUND OF ST. LOUIS

11.b. Approximate dollar value of such dealing.

\$129,393

12.a. Nature of interest held or income received.

12/3/04 DINNER AT IPEBF CONFERENCE
(SPOUSE INCLUDED)

12.b. Amount.

\$136

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INVESCO-NAM

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 400 W MARKET ST STE 2500

City LOUISVILLE

State Kentucky ZIP Code + 4 40202-3349

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND OF ST LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

INVESCO-NAM IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST LOUIS

11.b. Approximate dollar value of such dealing.

\$334,308

12.a. Nature of interest held or income received.

12/2/04 DINNER AT IFEBP CONFERENCE
(SPOUSE INCLUDED)

12.b. Amount.

\$133

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name GHP

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 111 CORPORATE OFFICE DR., STE. 400

City EARTH CITY

State Missouri ZIP Code + 4 63045-1506

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS HEALTH & WELFARE TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

GHP IS THE PROVIDER NETWORK AND UTILIZATION REVIEW COMPANY FOR THE CARPENTERS HEALTH AND WELFARE TRUST FUND.

11.b. Approximate dollar value of such dealing.

\$4,618,635

12.a. Nature of interest held or income received.

HOLIDAY GIFT

12.b. Amount.

\$100

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

THE CARPENTERS PENSION TRUST FUND IS A TRUST IN WHICH THE CARPENTERS DISTRICT COUCIL (LABOR ORGANIZATION) IS INTERESTED

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

NOV 04 - ATTENDANCE AT IN FEBP CONFERENCE

12.b. Amount.

\$1,121

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name CARPENTERS HEALTH & WELFARE TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

THE CARPENTERS HEALTH & WELFARE TRUST FUND IS A TRUST IN WHICH THE CARPENTERS DISTRICT COUCIL (LABOR ORGANIZATION) IS INTERESTED

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

NOV 04 - ATTENDANCE AT IN IFEBP CONFERENCE

12.b. Amount.

\$1,121

Name of Person Filing TERRY NELSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name CARPENTERS VACATION TRUST FUND OF ST. LOUIS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE.

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

11.a. Nature of such dealing.

THE CARPENTERS VACATION TRUST FUND IS A TRUST IN WHICH THE CARPENTERS DISTRICT COUCIL (LABOR ORGANIZATION) IS INTERESTED

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

NOV. 04 ATTENDANCE AT IN IFEBP CONFERENCE

12.b. Amount.

\$1,121

Name of Person Filing TERRY NELSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name AMALGATRUST COMPANY

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street ONE WEST MONROE

City CHICAGO

State Illinois ZIP Code + 4 60603-5301

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

AMALGATRUST COMPANY IS A MONEY MANAGER FOR THE CARPENTERS HEALTH & WELFARE TRUST FUND

11.b. Approximate dollar value of such dealing.

\$104,086

12.a. Nature of interest held or income received.

AMALGAMATED BANK OF CHICAGO LABOR ADVISORY COMMITTEE MEETING HONORARIUM

12.b. Amount.

\$272

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name AMALGATRUST COMPANY

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street ONE WEST MONROE

City CHICAGO

State Illinois ZIP Code + 4 60603-5301

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

AMALGATRUST COMPANY IS A MONEY-MANAGER FOR THE CARPENTERS HEALTH & WELFARE TRUST FUND

11.b. Approximate dollar value of such dealing.

\$104,086

12.a. Nature of interest held or income received.

12/14/04 HOLIDAY LUNCH

12.b. Amount.

\$27

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name COMMERCE BANK

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 8000 FORSTH

City CLAYTON

State Missouri ZIP Code + 4 63105-1707

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

11/23/04 HOLIDAY HAM CERTIFICATE

12.b. Amount.

\$50

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name COMMERCE BANK
Trade Name, if any:
P.O. Box, Bldg., Room No., if any
Street 8000 FORSYTH
City CLAYTON
State Missouri ZIP Code + 4 63105-1707

9. Business deals with:

- ☐ a. Labor Organization
☒ b. Trust
☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS' PENSION TRUST FUND OF ST. LOUIS
Trade Name, if any:
P.O. Box, Bldg., Room No., if any
Street 1401 HAMPTON AVE
City ST. LOUIS
State Missouri ZIP Code + 4 63139-3159

11.a. Nature of such dealing.

COMMERCE BANK IS A MONEY MANAGER FOR THE CARPENTERS DISTRICT COUNCIL'S TRUST FUNDS.

11.b. Approximate dollar value of such dealing.

\$777,536

12.a. Nature of interest held or income received.

12/1/04 DINNER AT THE IFEBP CONFERENCE
(SPOUSE INCLUDED)

12.b. Amount.

\$186

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INVESCO-NAM

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 400 W MARKET ST STE 2500

City LOUISVILLE

State Kentucky ZIP Code + 4 40202-3349

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 HAMPTON AVE

City ST LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

INVESCO-NAM IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST LOUIS

11.b. Approximate dollar value of such dealing.

\$334,308

12.a. Nature of interest held or income received.

DEC 04 USA MADE ROLLING COOLER CHRISTMAS GIFT

12.b. Amount.

\$48

Name of Person Filing TERRY NELSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name OPUS INVESTMENT ADVISORS, LLC

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 2321 ROSECRANS AVE., STE 3230

City EL SEGUNDO

State California

ZIP Code + 4 90245-4980

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name UNITED BROTHERHOOD OF CARPENTERS PENSION FND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 101 CONSTITUTION AVE, N.W.

City WASHINGTON, DC

State

ZIP Code + 4 20001-2133

11.a. Nature of such dealing.

OPUS IS A PENSION INVESTMENT ADVISOR FOR THE UNITED BROTHERHOOD OF CARPENTERS PENSION FUND.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

HOLIDAY GIFT 2004 - BOTTLE OF WINE

12.b. Amount.

\$122

Name of Person Filing TERRY NELSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SIERRA INVESTMENT PARTNERS, INC.

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street 2625 BUTTERFIELD RD., STE 138 SOUTH

City OAK BROOK

State Illinois ZIP Code + 4 60523-1244

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name CARPENTERS PENSION TRUST FUND

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street 1401 HAMPTON AVE.

City ST. LOUIS

State Missouri ZIP Code + 4 63139-3159

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

SIERRA INVESTMENT PARTNERS IS A MONEY MANAGER FOR THE CARPENTERS PENSION TRUST FUND OF ST. LOUIS

11.b. Approximate dollar value of such dealing.

\$75,192

12.a. Nature of interest held or income received.

12/04 HOLIDAY BOTTLE OF WINE

12.b. Amount.

\$49